



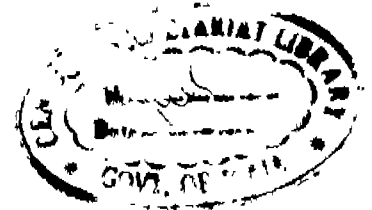
भारत का राजपत्र The Gazette of India

असाधारण

EXTRAORDINARY

भाग II—खण्ड 3—उप-खण्ड (ii)
PART II—Section 3—Sub-section (ii)

प्राधिकार से प्रकाशित
PUBLISHED BY AUTHORITY



सं० 394]
No. 394]

नई दिल्ली, बुधवार, जुलाई 10, 1996/आषाढ़ 19, 1918
NEW DELHI, WEDNESDAY, JULY 10, 1996/ASADHA 19, 1918

SECURITIES AND EXCHANGE BOARD OF INDIA

CORRIGENDUM

Mumbai, the 10th July, 1996

S.O. 492 (E).—In the notification, published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (ii) dated 16th May, 1996 vide S.O. 345 (E) dated 16th May, 1996, the following may be added after serial No. 7 at page 82 :—

- "8. Please indicate the net worth and paid up capital in Rs. Crore as per the latest audited financial statements of the applicant (enclose copy).
9. Please indicate services that the applicant is already providing to beneficial owners on whose behalf the applicant proposes to act as participant, and services proposed to be provided to beneficial owners.
10. Please provide the following details regarding staff involved in activities as participant :
 - (i) organisation structure;
 - (ii) experience and background of key personnel.
11. Please provide the following details regarding safekeeping and securities systems and procedures :
 - (i) risk control and operations manuals (enclose copies);
 - (ii) give details of independent internal control mechanisms for monitoring, evaluation and review of accounting, and reporting systems and procedures.
12. Please provide the following details regarding automatic data processing systems and record keeping :
 - (i) details of hardware, software and communications systems, their capability, function and location;
 - (ii) details of data storage and back up procedures and sites, their capability, function and location;
 - (iii) details of disaster recovery systems and procedures.
13. Details of insurance cover to be taken up.
14. Please indicate the applicant's shareholding for each depository in which it has such shareholding and whether any shareholding is proposed to be acquired in the depository through which this application is being made.
15. Please enclose a copy of an undertaking from the depository in which the applicant is to act as participant that
 - (a) the applicant is eligible to act as participant in the depository through which this application is being submitted to SEBI, and meets with the eligibility criteria for participants specified in these regulations and in the depository's bye-laws;"

[F. No. SEBI/LE/1742/96]

D.R. MEHTA, Chairman
Securities and Exchange Board of India

